Local Gross-Prasad conjecture over archimedean local fields

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Setting of the Conjecture

- F a local field, char(F) = 0;
- ► S is an even-dimensional and split quadratic space/F;
- D is an anisotropic line/F;
- V, W non-deg quadratic spaces /F s.t. $V = W \oplus^{\perp} S \oplus^{\perp} D$.

Gross-Prasad triple (G, H, ξ)

- $G = SO(V) \times SO(W)$, $H = \Delta SO(W) \rtimes N$;
- ▶ ξ a unitary character on H(F) induced from a generic unitary character ξ_N on N(F).

Example

- Codimension-one case: S = 0. In this case, dim $V = \dim W + 1$, $G = SO(V) \times SO(W)$, $H = \Delta SO(W)$ and ξ is the trivial character of H(F).
- Whittaker Case: dim W = 0, 1. In this case, G = SO(V) is quasi-split, H = N maximal unipotent and ξ is a Whittaker character.

Multiplicity For an irreducible admissible(nonarchimedean)/ Casselman-Wallach(archimedean) representation π of G(F)

 $m(\pi) = \dim \operatorname{Hom}_{H(F)}(\pi, \xi)$

Theorem (AGRS10, Wald11, GGP12; SZ12, JSZ11)

 $m(\pi) \leq 1$

Pure inner forms of spherical pairs

For every $\alpha \in H^1(F, H) \rightarrow H^1(F, G)$, we have pure inner forms

•
$$G_{\alpha} = \mathrm{SO}(V_{\alpha}) \times \mathrm{SO}(W_{\alpha});$$

• $H_{\alpha} = \Delta \mathrm{SO}(W_{\alpha}) \rtimes N;$

where $V_{\alpha} = W_{\alpha} \oplus^{\perp} H \oplus^{\perp} D$. Together with ξ_{α} induced by ξ_N , we obtain a Gross-Prasad triple

$$(G_{\alpha}, H_{\alpha}, \xi_{\alpha})$$

Vogan packet

Given a generic *L*-parameter $\varphi : \mathcal{W}_F \to {}^LG(={}^LG_{\alpha})$, the LLC gives *L*-packets $\Pi_{\varphi}(G_{\alpha})$ for every $\alpha \in H^1(F, G)$

$$\Pi^{\mathrm{Vogan}}_{\varphi} = \coprod_{\alpha \in H^1(F,G)} \Pi_{\varphi}(G_{\alpha})$$

It was proved by Shelstad over archimedean fields and conjectured by Vogan over nonarchimedean fields that, fixing a Whittaker datum, there exists a non-deg $\mathbb{Z}/2\mathbb{Z}$ -bilinear pairing

$$\Pi_{\varphi}^{\text{Vogan}} \times \mathcal{S}_{\varphi} \to \{\pm 1\}$$

where

$$\mathcal{S}_{\varphi} = \pi_0(\operatorname{Cent}_{\widehat{G}}(\operatorname{Im}(\varphi)))$$

This gives

$$\Pi^{\text{Vogan}}_{\varphi} \longleftrightarrow \text{ characters of } \mathcal{S}_{\varphi}$$

Local Gross-Prasad conjecture

Conjecture (GP92,GP94)

Given a generic parameter φ

- Multiplicity-one for Vogan packets There exists exactly one representation in the Vogan packet Π_{φ}^{Vogan} with multiplicity equal to one.
- **Epsilon-Dichotomy** Gross and Prasad defined a character $\chi_{\varphi,H}$ of S_{φ} using local epsilon factors. They also specified a choice of Whittaker datum. Under this Whittaker datum, the distinguished representation in the Vogan packet corresponds to $\chi_{\varphi,H}$.

Example: $SO(3) \times SO(2)$, $F = \mathbb{R}$, discrete series case

- $G = SO(3,0) \times SO(2,0)$, $H = \Delta SO(2,0)$, $\xi = 1_{H(\mathbb{R})}$
- $G_{\alpha} = \mathrm{SO}(3,0) \times \mathrm{SO}(2,0)$ or $\mathrm{SO}(1,2) \times \mathrm{SO}(0,2)$
- ${}^{L}G_{\alpha} = \operatorname{Sp}(2,\mathbb{C}) \times \operatorname{O}(2,\mathbb{C}) \subset \operatorname{GL}(2,\mathbb{C}) \times \operatorname{GL}(2,\mathbb{C})$
- For non-negative integer I, $\varphi_I : \mathcal{W}_{\mathbb{R}} = \mathbb{C}^{\times} \coprod \mathbb{C}^{\times} j \to \mathrm{GL}(2, \mathbb{C})$

$$z \mapsto \left(\begin{array}{cc} |z|^{2t} (\frac{z}{|z|})^l & \\ & |z|^{2t} (\frac{z}{|z|})^{-l} \end{array}\right) \quad j \mapsto \left(\begin{array}{cc} 0 & 1 \\ (-1)^l & 0 \end{array}\right)$$

▶ When *I* is even, $\varphi_I : \mathcal{W}_{\mathbb{R}} \to O(2, \mathbb{C})$, LLC gives

One-dim SO(2,0)(
$$\mathbb{R}$$
)-repn π_I : $\begin{pmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{pmatrix} \mapsto e^{i\theta I\pi}$

• When I is odd, $\varphi_I : \mathcal{W}_{\mathbb{R}} \to \operatorname{Sp}(2, \mathbb{C})$, LLC gives

I-dim SO(3,0)(\mathbb{R})-repn F_I with highest weight $\frac{I-1}{2}$ A discrete series repn D_I of SO(1,2)(\mathbb{R}) = PGL(2, \mathbb{R}) Example: $SO(3) \times SO(2)$, $F = \mathbb{R}$, discrete series case

Given an odd integer $l \ge 1$ and an even integer $n \ge 0$

$$m(F_I \otimes \pi_n) = \operatorname{Hom}_{H(F)}(F_I \otimes \pi_n, 1) = 1$$
 iff $I > n$

$$m(D_I \otimes \pi_n) = \operatorname{Hom}_{H(F)}(D_I \otimes \pi_n, 1) = 1 \text{ iff } I < n$$

The component group $\mathcal{S}_{arphi_l} = \{\pm 1\}$

$$\chi_{\varphi_{l} \times \varphi_{n}, \mathcal{H}}(-1, 1) = (-1)^{\frac{4}{4}} \varepsilon(\varphi_{l} \otimes \varphi_{n}) = -\varepsilon(\varphi_{l+n} \oplus \varphi_{|l-n|})$$
$$= -i^{l+n+1} i^{|l-n|+1} = i^{2max\{l,n\}}$$
$$= \begin{cases} 1 & \text{if } l < n \\ -1 & \text{if } l > n \end{cases}$$

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Related results (local Gross-Prasad conjecture)

Over nonarchimedean local fields

- Waldspurger proved the full conjecture for tempered parameters
- Mœglin and Waldspurger proved the full conjecture for generic parameters

Over archimedean local fields

- \blacktriangleright Möllers proved the full conjecture for codimension-one case over $\mathbb C$
- ► Luo proved the multiplicity-one part of the conjecture for tempered parameters over ℝ
- C.-Luo proved the **epsilon-dichotomy part** of the conjecture for **tempered parameters** over \mathbb{R}
- \blacktriangleright C. proved the full conjecture for generic parameters over $\mathbb R$ and $\mathbb C$

Related results (local Gan-Gross-Prasad conjecture for unitary groups)

Over nonarchimedean local fields

- Beuzart-Plessis proved the full conjecture for tempered parameters
- Gan and Ichino proved the full conjecture for generic parameters

Over archimedean local fields

- Beuzart-Plessis proved the multiplicity-one part of the conjecture for tempered parameters
- Xue proved the full conjecture for tempered parameters using theta correspondence
- Xue proved the full conjecture for generic parameters

(These results are before our proof of Gross-Prasad conjecture.)

Waldspurger's proof for Epsilon-dichotomy (tempered, nonarchimedean)

Step 1: Local trace formula (on $SO(V) \times SO(W)$)

$$J_{\text{spec}}(f) = J_{\text{geom}}(f) \Longrightarrow m(\pi) = m_{\text{geom}}(\pi)$$

Step 2: Express the epsilon factor in terms of the Harish-Chandra characters $\Theta_{\Pi_{\varphi}(G)}$ using twisted endoscopy and twisted local trace formula (on $\operatorname{GL}(n) \rtimes \theta$, θ =transpose inverse)

$$J_{\mathrm{spec}}(\widetilde{f}) = J_{\mathrm{geom}}(\widetilde{f}) \Longrightarrow m(\widetilde{\pi}) = m_{\mathrm{geom}}(\widetilde{\pi})$$

Step 3: Study $m_{\text{geom}}(\pi)$ under endoscopy with a multiplicity formula.

Our proof (tempered, $F = \mathbb{R}$)

Step 1: Local trace formula (proved by Luo)

Step 2: (Reductions) Easier over archimedean fields. When dim V > 3, the parameter φ_V is either be **parabolic type** or **endoscopic type**. In both case, we are able to reduce the question to smaller cases. So the question can be reduced to the Waldspurger's model.

Step 3: (For reduction of endoscopic type) Instead of considering the geometric multiplicity for one pure inner form, we sum over the geometric multiplicity of all pure inner forms with the same Kottwitz sign.

Classification of the parameter φ_V ($F = \mathbb{R}$)

When dim V > 3 the parameter φ_V of SO(V) can be classified as the following:

▶ Parabolic Type: $\varphi_V = \varphi_V^{GL} \oplus \varphi_{V_0} \oplus (\varphi_V^{GL})^{\vee}$, $\varphi_V^{GL} \neq 0$. In this case, let $\Pi_{\varphi_V^{GL}} = \{\sigma\}$, the map

$$\pi_{0} \mapsto \sigma \times \pi_{0} = I_{P}^{G}(\sigma \otimes \pi_{0})$$

defines an isomorphism

$$\Pi^{\mathrm{Vogan}}_{\varphi_{V_0}} \to \Pi^{\mathrm{Vogan}}_{\varphi_V}$$

• Endoscopic Type: Exists $s \in S_{\varphi_V}$ such that the endoscopic group $G'_V = SO(V_+) \times SO(V_-)$ of $G_V = SO(V)$ determined by s is smaller than SO(V), that is,

$${}^{L}G'_{V} \subsetneq {}^{L}G_{V} \quad \operatorname{Cent}_{\widehat{G_{V}}}(s)^{o} = \widehat{G'_{V}}$$

Geometric multiplicity (Gross-Prasad case)

For a quasi-character Θ of $\mathcal{G}(\mathbb{R})$, we define

$$m_{\text{geom}}(\Theta) = \int_{\Gamma(G,H)} D^G(x)^{1/2} c_{\Theta}(x) \Delta(x)^{-1/2} dx$$

► Γ(G, H) the space of semisimple G(ℝ)-conjugacy classes that intersect H(ℝ);

$$\begin{array}{l} \bullet \ D^G(x) = |\det(1 - \operatorname{Ad}(x))|_{\mathfrak{g}/\mathfrak{g}_x}| \\ D^H(x) = |\det(1 - \operatorname{Ad}(x))|_{\mathfrak{h}/\mathfrak{h}_x}| \end{array}$$

$$\bullet \ \Delta(x) = D^{G}(x)D^{H}(x)^{-2}$$

• $c_{\Theta}(x) = c_{\Theta,\mathcal{O}}(x)$ the germ (coefficient in character expansion) with respect to $\mathcal{O} \in \operatorname{Nil}(\mathfrak{g}_x)$ which is uniformly selected.

In particular, for tempered representation π , we let

$$m_{\text{geom}}(\pi) = m_{\text{geom}}(\Theta_{\pi})$$

Relation to Spectral Multiplicity

Theorem (L 2021)

For every tempered representation $\pi = \pi_V \widehat{\otimes} \pi_W$ of $G = SO(V) \times SO(W)$

$$m(\pi) = m_{\text{geom}}(\pi)$$

Reduction: parabolic situation

Theorem (L 2021) Let $V = X \oplus V_0 \oplus X^{\vee}$, for every tempered representation π_{V_0} of $SO(V_0)$, π_W of SO(W) and σ of GL(X)

$$m_{\text{geom}}(\pi_{V_0}\widehat{\otimes}\pi_W) = m_{\text{geom}}((\sigma \times \pi_{V_0})\widehat{\otimes}\pi_W)$$

Therefore

$$\boldsymbol{m}(\pi_{V_0}\widehat{\otimes}\pi_W) = \boldsymbol{m}((\sigma\times\pi_{V_0})\widehat{\otimes}\pi_W)$$

Proposition (Reductions for parabolic types) Suppose $\varphi_V = \varphi_V^{GL} \oplus \varphi_{V_0} \oplus (\varphi_V^{GL})^{\vee}$ is of parabolic type and the Conjecture holds for $\varphi_{V_0} \times \varphi_W$, then the Conjecture holds for $\varphi_V \times \varphi_W$.

Virtual tempered representations

A virtual tempered representation (VTR) Σ of $G(\mathbb{R})$ is a finite \mathbb{C} -linear combination $\sum_i a_i[\pi_i]$ of infinitesimal equivalence classes of irreducible tempered representations π_i .

A VTR $\Sigma = \sum_{i} a_{i}[\pi_{i}]$ is uniquely determined by the quasi-character $\Theta_{\Sigma} = \sum_{i} a_{i}\Theta_{\pi_{i}}$.

We can define the multiplicities for VTR as

$$m(\Sigma) = \sum_{i} a_{i}m(\pi_{i})$$
 $m_{\text{geom}}(\Sigma) = \sum_{i} a_{i}m_{\text{geom}}(\pi_{i}) = m_{\text{geom}}(\Theta_{\Sigma})$

Stable geometric multiplicity

We define the stable geometric multiplicity

$$m^{S}_{\text{geom}}(\Theta) = \int_{\Gamma(G,H)} D^{G}(x)^{1/2} c_{\Theta}^{\text{Stab}}(x) \Delta(x)^{-1/2} dx$$

Here

$$c_{\Theta}^{\mathrm{Stab}}(x) = \frac{1}{|\mathrm{Nil}(\mathfrak{g}_x)|} \sum_{\mathcal{O}\in\mathrm{Nil}(\mathfrak{g}_x)} c_{\Theta,\mathcal{O}}(x)$$

Endoscopic transfer

Let $G'(\mathbb{R})$ be an endoscopic group of $G(\mathbb{R})$. A character Θ^G is called the **endoscopic transfer** of $\Theta^{G'}$ if we have

$$\Theta^{G}(x) = \sum_{y} \Delta(y, x) \Theta^{G'}(y) \quad x \in G(\mathbb{R}) / \text{conj}, y \in G'(\mathbb{R}) / \text{stconj}$$

summation over stable $G'(\mathbb{R})$ -conjugacy classes. Here Δ is the Langlands-Shelstad transfer factor.

Theorem (Shelstad)

Let $\varphi_G : \mathcal{W}_{\mathbb{R}} \to {}^L G$ be a generic L-parameter that induces $\varphi_{G'} : \mathcal{W}_{\mathbb{R}} \to {}^L G'$. Moreover, $\operatorname{Cent}_{\widehat{G}}(s)^o = \widehat{G'}$. The twisted character

$$e(G)\Theta_{\varphi_G}^{\chi} = e(G)\sum_{\pi\in \Pi_{\varphi_G}(G)}\chi_{\pi}(s)\Theta_{\pi}$$

on $G(\mathbb{R})$ is the endoscopic transfer of the stable character $\Theta^{1}_{\varphi_{G'}} = \sum_{\pi \in \Pi_{\varphi_{G'}}(G')} \Theta_{\pi}$ of $G'(\mathbb{R})$.

Key Multiplicity formula

Given VTRs $\Sigma_{V_{\alpha}}, \Sigma_{W_{\alpha}}, \Sigma_{V_{\pm}}, \Sigma_{W_{\pm}}$, suppose

- 1. $\Theta_{\Sigma_{V_+}}$, $\Theta_{\Sigma_{V_-}}$, $\Theta_{\Sigma_{W_+}}$, $\Theta_{\Sigma_{W_-}}$ are stable characters of $SO(V_+)$, $SO(V_-)$, $SO(W_+)$, $SO(W_-)$ respectively;
- For every α ∈ H¹(F, H), e(G_{V_α})Θ<sub>Σ_{V_α} are the endoscopic transfer of Θ<sub>Σ_{V₊} × Θ_{Σ_V} and e(G_{W_α})Θ<sub>Σ_{W_α} are the endoscopic transfer of Θ<sub>Σ_{W₊} × Θ<sub>Σ_{W_−};
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Then for $e_0 = \pm 1$, we have

$$\begin{split} &\sum_{\substack{\alpha \in \mathcal{H}^{1}(\mathbb{R}, \mathcal{H}) \\ e(G_{\alpha}) = e_{0}}} m_{\text{geom}}(\Sigma_{V_{\alpha}}, \Sigma_{W_{\alpha}}) \\ = &\frac{1}{2} (e_{0} \cdot m_{\text{geom}}^{S}(\Sigma_{V_{+}}, \Sigma_{W_{+}}) m_{\text{geom}}^{S}(\Sigma_{V_{-}}, \Sigma_{W_{-}}) \\ &+ m_{\text{geom}}^{S}(\Sigma_{V_{-}}, \Sigma_{W_{+}}) m_{\text{geom}}^{S}(\Sigma_{V_{+}}, \Sigma_{W_{-}})) \end{split}$$

Choice of Σ 's

Given a parameter φ_V of $\mathrm{SO}(V)$ and $s \in \mathcal{S}_{\varphi_V}$, we let

$$\Sigma_{V_{\alpha}}^{s} = \sum_{\pi \in \Pi_{\varphi}(\mathrm{SO}(V))} \chi_{\pi}(s)\pi$$

for $\alpha \in H^1(\mathbb{R}, SO(V))$.

Let $SO(V_+) \times SO(V_-)$ be the endoscopic group of SO(V) determined by the eigenspace decomposition of *s*. We take

$$\Sigma^1_{V_+} = \sum_{\pi \in \Pi_{\varphi_+}(\mathrm{SO}(V_+))} \pi, \quad \Sigma^1_{V_-} = \sum_{\pi \in \Pi_{\varphi_-}(\mathrm{SO}(V_-))} \pi$$

The VTRs $\Sigma_{V_{\alpha}}^{s}, \Sigma_{W_{\alpha}}^{s}, \Sigma_{V_{\pm}}^{1}, \Sigma_{W_{\pm}}^{1}$ satisfy the assumptions (1)(2).

Reduction: endoscopic type

We define the stable multiplicity

$$m_{V,W}^{S} = \sum_{\alpha \in H^{1}(\mathbb{R},H)} m(\Sigma_{V_{\alpha}}^{1}, \Sigma_{W_{\alpha}}^{-1})$$

Corollary

For quasi-split SO(V) and SO(W)

$$m_{V,W}^{S} = m_{\text{geom}}^{S}(\Sigma_{V}^{1}, \Sigma_{W}^{1})$$

Corollary

$$\sum_{\alpha \in H^1(\mathbb{R},H)} m(\Sigma_{V_\alpha}^{s_V}, \Sigma_{W_\alpha}^{s_W}) = m_{V_-,W_+}^S m_{V_+,W_-}^S$$

Reduction: endoscopic situation

Suppose the conjecture holds for all possible (V_-, W_+) and (V_+, W_-) , we have

$$\sum_{\pi \in \Pi^{\operatorname{Vogan}}(G)} \chi_{\pi}(s) m(\pi) = \sum_{\alpha \in H^{1}(\mathbb{R}, H)} m(\Sigma_{V_{\alpha}}^{s_{V}}, \Sigma_{W_{\alpha}}^{s_{W}})$$
$$= m_{V_{-}, W_{+}}^{S} m_{V_{+}, W_{-}}^{S} = \chi_{\varphi, H}(s)$$

Both sides are characters and the equality holds for all s such that the endoscopic group $SO(V_+) \times SO(V_-)$ determined by s is not equal to SO(V), equivalently

$$s_V \in \mathcal{S}_{\varphi_V} - \mathbb{C} \cdot 1_{\varphi} \cap \mathcal{S}_{\varphi_V}$$

So the equality holds for all $s = s_V \times s_W \in \mathcal{S}_{\varphi}$.

Proposition (Reductions for endoscopic type)

Suppose φ_V is of endoscopic type and the Conjecture holds for $\varphi_{V'} \times \varphi_{W'}$ such that dim $V' < \dim V$. Then the Conjecture holds for $\varphi_V \times \varphi_W$.

Proof for the key multiplicity formula

This idea follows from Waldspurger's work

Step 1: Prove a germ formula

$$\begin{aligned} c_{\Theta}(x) &= \lim_{t \to 0^+} \left[\frac{D^{G_x}(tX_{\mathrm{qd},x})}{|W_{T_{\mathrm{qd},x}}|} \Theta(x \exp(tX_{\mathrm{qd},x})) \right. \\ &+ \frac{D^{G_x}(tX_x)}{2|W_{T_x}|} \eta(\Theta(x \exp(tX_x^+)) - \Theta(x \exp(tX_x^-))) \right] \end{aligned}$$

and apply the germ formula in the definition of geometric multiplicity.

(Waldspurger's proof for the germ formula used some properties of smooth transfer on Lie algebra which he proved with fundamental lemma.)

- Step 2: Use endoscopy to write the characters
 Θ(x exp(tX_{qd,x})), Θ(x exp(tX_x[±])) in terms of stable characters on the endoscopic group.
- Step 3: Rearrange the terms to obtain an expression in terms of stable geometric multiplicity.

Proof for generic case: F nonarchimedean

Mœglin and Waldspurger's framework

Step 1: A structure theorem showing every representation in generic packets can be expressed as a parabolic induction; (The proof for the structure theorem uses **Casselman-Shahidi's standard module conjecture** (proved by Muić) and an **irreducibility criterion**)

Step 2: Reduction from co-dimension one cases to tempered cases with a **mathematical induction**; (The induction steps were proved with a **multiplicity formula**.)

Step 3: Reduction from general cases to co-dimension one cases using the **multiplicity formula** in **Step 2**.

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Proof for generic case: $F = \mathbb{R}$

Step 1: standard module conjecture was proved by Vogan; irreducibility criterion was proved by Speh and Vogan.

Step 2: With a multiplicity formula as in Mœglin and Waldspurger $(s_{\pi_W,\sigma} = s_{\pi_W} + s_{\sigma})$, the mathematical induction won't work.

I proved a refined multiplicity formula $(s_{\pi_W,\sigma} = s_{\pi_W} - s_{\sigma})$ so that the mathematical induction works.

(Multiplicity formula are proved using Schwartz homologies)

Step 3: Reduction from general cases to co-dimension one cases using a **multiplicity formula**.

The multiplicity formula are in the form of

$$m((|\det|^{s}\sigma \times \pi_{V})\widehat{\otimes}\pi_{W}) = m(\pi_{V}\widehat{\otimes}\pi_{W}) \quad \text{for } \operatorname{Re}(s) \ge s_{\pi_{W},\sigma}$$

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Proof for generic case: $F = \mathbb{C}$

(Codimension-one case proved by Möllers.) Notice that $|\Pi^{Vogan}_{\varphi}| = 1.$

▶ Step 1 We can find a Borel subgroup $B = B_V \times B_W$ of G such that $H \cap B = 1$ and BH is Zariski-open in G. So we can a define $(B(F) \times H(F), \delta_{B(F)}^{1/2} \sigma \times \xi)$ -equivalent tempered measure

$$\mu = \delta_{B(F)}^{-1/2} \sigma^{-1}(b) \xi(h) db dh.$$

can be constructed on B(F)H(F)

- Step 2 From [GSS 16], this measure can be "extended" to a nonzero (B(F) × H(F), δ^{1/2}_{B(F)}σ × ξ)-equivalent tempered distribution on G(F).
- **Step 3** We can construct a nonzero element in

$$\operatorname{Hom}_{H}(I_{B}^{G}(\sigma),\xi)$$

with this distribution.

Thank you!

Cheng Chen Local Gross-Prasad conjecture

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